PERSONNEL AND POLICIES AD HOC COMMITTEE AGENDA

Called by: Chairman, Paul Arena
Members: Fred Wescott, Dave Kelley, John Butitta, Dorothy Redd, Angie Goral, Joe Hoffman

DATE: WEDNESDAY, NOVEMBER 6, 2019
TIME: 5:30 PM
LOCATION: ROOM 510
COUNTY ADMINISTRATION BLDG
404 ELM STREET
ROCKFORD, IL 61101

AGENDA:

A. Call to Order

B. Roll Call

C. Public Comment – This is the time we invite the public to address the Personnel and Policies Ad Hoc Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.

D. NIU Center for Governmental Studies Proposal: Technical Research, Administrator and Board Chair Duties

E. Report to Personnel and Policies Committee

F. Other Matters

G. Adjournment
Winnebago County
Technical Research:
Administrator and Board Chair Duties

Proposed Workplan and
Exploration Steps

NIU Center for Governmental Studies
NIU Dept. of Public Administration
October 9, 2019


**Proposed Scope of Services**

The Center for Governmental Studies at Northern Illinois University, in conjunction with its Department of Public Administration partners, is pleased to respond to your request for a proposal to develop and undertake a series of exploration and technical research tasks on the roles and duties of the County Administrator and County Board Chair with respect to the County Board. The proposed research component works in parallel with related role and responsibility discussions undertaken by a Committee of the Winnebago County Board.

The overall aim is to undertake and conduct a series of directed research and analysis exercises to gather objective information and data, including the identification of alternative approaches and designs, related to the duties and responsibilities of executive level and legislative roles in the County Government, integral to the governance and administration of the County organization. The study team will research and explore, within the context of Illinois’ statutory provisions, examples of traditional stand-alone activities related to policy research and policy-making, budget preparation and administration, organizational management, advancement of organizational goals, and County governance.

The research team will undertake a multi-part research and analysis effort that condenses our findings into a presentation of alternative actions that the County’s study group may recommended to the County board for implementation. The project would begin with a brief evaluation and diagnosis step with the Committee’s leadership, ending with a facilitated group discussion session to share findings, ideas, goals and possible frameworks toward the formation of a consensus.

Committee and organizational leaders, senior staff and other participants will likely be asked to provide input and ideas to augment the study team’s technical research. Organizational expertise and context will be important to fully explore options that may be discussed.
PROJECT PHASES

The multi-phase Technical Assistance and Research project will be composed of these primary activities:

1. Exploratory background and discovery research and interviews of governance and administrative structures
2. Directed/focused research on models and examples from other Counties in Illinois and other midwestern states.
3. Compilation of the Research Team’s findings
4. Review of case law and related statutory elements and feedback by legal research advisor^**
5. Facilitated Workshop Presentation/Discussions: review concepts and research findings with committee leaders

Component 1: Exploratory Background Research

We recommend an initial 2-hour background session with County study group representatives, board leaders and senior staff to gain a full understanding of the policy and organizational issues to be explored.

Component 2: Exploratory Research/Data Gathering

We will undertake a series of exploratory research and analysis tasks to gain both breadth and depth in the review of the key duties of the positions under study, and, their relationship to the governance structure and the County Board function as a whole.

Component 3: Thematic Analysis of Exploratory Research

We will complete an assessment of the themes from the research and data gathering and analysis phase. The analysts will be looking for opportunities for collaborative shared governance possibilities, core duties and activities and resource utilization and pathways to organizational effectiveness and performance.

Component 4: Facilitated Reporting & Findings Workshop

We will use the information gathered in Component 1, to lead a 90 minute +/- feedback and learning leadership workshop to help explore, understand, and identify possibilities, conceptual frameworks, alternatives and approaches. The planned report out at the session would be similar to the following:
Part a.) Background and Context- Group review of current policy environment, issue framing, current organizational and county profile

Part b.) Findings Summation of the Data Gathering/Research Steps - review of collected research and gathered data from the University research team and legal advisor/reviewer^**.

Part c.) Consideration of Knowledge Gained- Group discussions, deliberation of viable or desired conceptual structures or policy options

The planned concluding/reporting workshop would be conducted at one of the County-owned or identified meeting rooms or training facilities.

The researchers and facilitators will work to establish a common understanding among participants of the information shared, the ideas considered, and, if desired, can work to assist the group in moving toward a consensus view or point for further consideration, and/or concept termination.

The following primary objectives and framework for the technical research engagement will guide the University's research team:

- Promote knowledge, understanding, and analysis of information and concepts related to the topic at hand;
- Create a participative environment for all to share ideas and perspectives;
- Engage participants in shared dialogue and work to assist with group discussions and potential consensus building.

**Summarization/Compilation**

A summarization memorandum of the engagement’s steps and research outcomes, and, the group discussions will be prepared, based on the group’s work and conclusions.
PROPOSED WORK SCHEDULE

The project's lead investigator/project coordinator will initiate on-site background meetings within two weeks of receipt of written notice to proceed. Work will progress from that point in time with an estimated completion time-frame of 5-7 weeks. The lead investigator/researcher serving as the primary field agent for the project will advise of any complications or unforeseen factors that would require amendments to the projected completion date.

PROJECT FEES

Professional Fees & Expenses

The Center for Governmental Studies, in partnership with the Department of Public Administration, proposes to undertake the above facilitated technical research/assistance project on a not-to-exceed professional fee basis. Our proposed fee for professional and support services for the project (Components described above) will be within a range of $4,100-4,900 inclusive of normal project expenses for the research team. The eventual/final fee will be determined by the final scope of work completed including the extent of research undertaken, the number of encounters, workshop session participation and project coordination.

Expenses and Additional Work

Reimbursable expenses, such as travel, printing, postage, telecommunications, research packets, or other materials are included in our range for the not-to-exceed fee. (Professional services by project team personnel for requested services, meetings or research beyond the project scope outlined above, or, not otherwise noted, will be charged at a rate of $115 per hour, plus expenses, for professional-level staff involved. Support staff services will be charged at a rate of $50 per hour.)

Should additional Workshop Sessions, beyond those identified in the scope of work above, be required, additional sessions will be billed at a rate of $900 per each 2 +/- hour session (including required planning, follow-up, coordination, session notations, minor travel, etc.)

*RESPONSIBILITIES OF THE COUNTY/COMMITTEE

The designated County Official who will oversee the project will be responsible for identifying and reviewing
potential meeting sites with the lead researchers/coordinator and to select meeting sites mutually agreeable to both the City and the University research team. The County will also be responsible for inviting study group members, preparing all notices and agendas, keeping minutes, and, making all logistical, scheduling and equipment/supply arrangements for the sessions at the selected site. All meeting site expenses, refreshments, food, etc., (if any) will be the sole responsibility of the County and are typically contracted directly with the provider.

TERMS

Upon execution of a formal notice to proceed, the Center for Governmental Studies will deliver a letter of agreement for execution, confirming the scope of the project, to Winnebago County for execution. Services will begin upon receipt of the executed agreement, and an engagement fee of $1,000.

A final billing will be prepared at the conclusion of the research findings presentation meeting.

PROJECT TEAM

Lead researcher and primary field agent duties will be shared with Prof. Kurt Thurmaier, Ph.D., Chair of the Department of Public Administration taking the lead researcher role and Greg Kuhn, Ph.D., Asst. Director for Public Management and Training at the Center for Governmental Studies serving as lead coordinator. Kurt and Greg will be assisted by other specialists, including Adam Simon, Esq. of Ancel Glink**, and members of the Center's Public Management team as well as faculty or graduate students from the Department of Public Administration. Other support staff and professionals from NIU’s Center for Governmental Studies may also serve as resources to the project’s lead researcher. A summary biography of the project’s lead researchers/facilitators and assisting staff is included with this proposal.

**Please note, the County/Board Committee will be asked to execute a separate professional services letter of engagement with Mr. Simon and Ancel Glink for their role and services related to the case law and statutory elements perspective important to the research undertaking described above.
ACCEPTANCE AND NOTICE TO PROCEED

Thank you for your consideration of our outline to be of assistance to Winnebago County. Should the above outline confirm our understanding of the project and meet with the County’s needs, please sign below as confirming to proceed. A separate inter-agency professional services agreement will be prepared by the Center’s business office for the County’s signature.

SUBMITTED BY: 

[Signature]

NIU Center for Gov’tl. Studies
Greg Kuhn, PhD
Asst. Director
October 11, 2019

ACCEPTED BY: 

[Signature]

Title: ____________
Winnebago County

Date: ____________
Background Summary and Qualifications

Project Team Members for
Workshops & Research/Data Gathering for Winnebago County
NIU CGS and Public Administration Dept.

Kurt Thurmaier, Ph.D., is Distinguished Engagement Professor & Chair, Department of Public Administration, and Senior Research Scholar, Center for Governmental Studies, School of Public & Global Affairs at Northern Illinois University. Prof. Thurmaier is a Fellow of the National Academy of Public Administration, and a member of its Standing Panel on Intergovernmental Systems.

He received his B.A. and M.P.P.A. from the University of Wisconsin-Madison and his Ph.D. from the Maxwell School, Syracuse University. He joined the NIU Public Administration faculty in 2006. His previous positions include assistant and associate professor positions at the University of Kansas (1990-2002) before becoming MPA director at Iowa State University (2002-2006). He has served as chair of the Department of Public Administration at NIU since 2009.

His research and teaching interests include budgetary decision making at the local and state levels in the U.S. and other countries, comparative public administration (especially fiscal decentralization) and intergovernmental relations (especially interlocal collaboration). His current research studies citizen participation in county budgeting in Kenya and the lessons those counties can provide US local governments. Underway since 2016, this research project has helped him develop an Engaged Budgeting Model to strengthen democratic accountability and improve allocative efficiency. Thurmaier also continues research about intergovernmental and intersectoral collaborations of local governments in the US. This research builds on previous work about city-county consolidations and interlocal agreements in the US. Consulting with local governments about shared services increases data collection on the topic.

Professor Thurmaier is the author of a number of articles and other publications on inter-agency collaboration including:


Attachment A - 1


He has served as a consultant and researcher with ICMA, HIID, and several local governments. He is a lifetime member of the American Society for Public Administration (ASPA), and served as chair of the Association for Budgeting and Financial Management (ABFM). He is a member of the Government Finance Officers Association (including the Illinois Government Finance Officers Association), the International City/County Management Association (including the Illinois and Wisconsin associations). Dr. Thurmaier would serve as lead researcher and co-lead facilitator for the engagement.

Greg Kuhn, Ph.D., is Assistant Director of Public Management and Training at Northern Illinois University’s Center for Governmental Studies. Dr. Kuhn is a former Village Manager who now conducts research, teaches and consults to governmental units in Illinois and across the Midwest. Dr. Kuhn completed his doctoral studies mid-career with emphases in Public Administration at the Local Government Level, Organization Development, and Public Policy at Northern Illinois University. Greg served as Village Manager in Clarendon Hills, Illinois and Asst. to the Manager in Skokie, Illinois. Greg has also provided organizational and leadership services to governments on a consulting basis as Director of Local Government Management Services at Sikich, LLP., Managing Vice-President of the PAR Group, and Senior Associate in Governmental Services at Korn-Ferry International.

Greg has over 37 years of public management experience as an administrator, consultant, and instructor. Greg is a specialist in strategic planning, organizational development and design, governance, and training, undertaking over 200 local government engagements, studies and projects. Greg is an adjunct faculty member at both NIU’s Department of Public Administration and Northwestern University’s School of Professional Studies Public Policy and Administration Programs. He has taught graduate classes in public policy, public administration, leadership, strategic planning, intergovernmental relations, local government, budgeting and other topics. He will be joined by other senior members of the Center for Governmental Studies and the University in the execution of the exercises and data gathering portions of the strategic planning initiative.

Dr. Kuhn would serve as co-lead facilitator/project coordinator for the engagement.

Jeanna Ballard, MPA, Research Associate- Center for Governmental Studies is a recent graduate of NIU’s MPA Program and has joined the CGS team working with the Public Management and Training Group as a research analyst. Jeanna earned a dual MPA degree via her joint studies at both NIU and Renmin University in China. She’s holds an undergraduate degree in Political Science from NIU. Jeanna served as a graduate assistant in NIU’s MPA program last fall, an administrative intern in Algonquin in 2014-15, intern for Kane County Health Department in
2014, and a Congressional intern in the U.S. House in 2013. She was the recipient of the James M. Banovetz Fellowship Award and NIU Outstanding Women Student Award. Jeanna will assist with the project to coordinate surveys, strategic planning exercises, distill themes and findings from qualitative and quantitative information sources important to stakeholders.

Ms. Ballard will serve as an assisting project researcher, analyst, and collaborator.

Other Project Staff and Support – The study team above may also be joined or assisted by other staff members of the Center for Governmental Studies, the Dept. of Public Administration and the University, for certain project elements as needed.
FINANCE COMMITTEE
AGENDA

Called by: Chairman, Jaime Salgado
Joe Hoffman, Burt Gerl, Dave Boomer, Dave Fiduccia, Steve Schultz, Keith McDonald

DATE: THURSDAY, NOVEMBER 7, 2019
TIME: 5:30 PM
LOCATION: ROOM 510
COUNTY ADMINISTRATION BLDG
404 ELM STREET
ROCKFORD, IL 61101

AGENDA:

A. Call to Order

B. Roll Call


D. Public Comment – This is the time we invite the public to address the Finance Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.

E. Pending Litigation

F. Budget Amendment 2020-001 Teen Pregnancy Grant

G. Budget Amendment 2019-033 Ware Building Improvements

H. Budget Amendment 2020-002 Probation Department

I. Axion Proposal

J. Request for Funding for Property Tax Monitoring Program

K. Review of Closed Meeting Minutes

L. Other Matters

M. Adjournment
2020 Fiscal Year

Sponsored by:

Jaime Salgado, Finance Committee Chairman

Finance: November 7, 2019
Lay Over: November 14, 2019
Final Vote: November 26, 2019

2020 CO

TO: THE HONORABLE MEMBERS OF THE COUNTY OF WINNEBAGO, ILLINOIS

The Winnebago County Finance Committee presents the following Ordinance amending the Annual Appropriation Ordinance for the fiscal year ending September 30, 2019 and recommends its adoption.

ORDINANCE

WHEREAS, the Winnebago County Board adopted the “Annual Budget and Appropriation Ordinance” for the fiscal year ending September 30, 2020 at its September 26, 2019 meeting; and,

WHEREAS, 55ILCS 5/6-1003(2014), states, “After the adoption of the county budget, no further appropriations shall be made at any other time during such fiscal year, except as provided in this Act. Appropriations in excess of those authorized by the budget in order to meet an immediate emergency may be made at any meeting of the board by a two-thirds vote of all the members constituting such board, the vote to be taken by ayes and nays and entered on the record of the meeting.”

NOW, THEREFORE, BE IT ORDAINED, that the County Board deems that pursuant to the provisions as set forth in 55ILCS 5/6-1003(2014), certain conditions have occurred in connection with the operations of the County which are deemed to be immediate emergencies; therefore the following increases are hereby authorized.

2020-001 Teen Pregnancy Grant
Reason: Award of one year Teen Pregnancy Prevention Grant contract # FCSYP05348 for $220,129, Grant deliverables require staffing, benefits, and other training and related expenses to perform services obligated per the contract.
Alternative: N/A
Impact to fiscal year 2020 budget: None
Revenue Source: General Fund

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**Total Adjustment:**  $0$
Respectfully Submitted,
FINANCE COMMITTEE

(AGREE)

JAIME SALGADO,  
FINANCE CHAIRMAN

DAVID FIDUCCIA

JOE HOFFMAN

BURT GERL

DAVID BOOMER

STEVE SCHULTZ

KEITH MCDONALD

(DISAGREE)

JAIME SALGADO,  
FINANCE CHAIRMAN

DAVID FIDUCCIA

JOE HOFFMAN

BURT GERL

DAVID BOOMER

STEVE SCHULTZ

KEITH MCDONALD

The above and foregoing Ordinance was adopted by the County Board of the County of Winnebago, Illinois this _____day of _________________________2019.

FRANK HANEY  
CHAIRMAN OF THE COUNTY BOARD  
OF THE COUNTY OF WINNEBAGO, ILLINOIS

ATTESTED BY:

LORI GUMMOW  
CLERK OF THE COUNTY BOARD  
OF THE COUNTY OF WINNEBAGO, ILLINOIS
## 2020
### WINNEBAGO COUNTY
#### FINANCE COMMITTEE
#### REQUEST FOR BUDGET AMENDMENT

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**TOTAL ADJUSTMENT:** $0 $0

### Reason budget amendment is required:

Award of one year Teen Pregnancy Prevention Grant contract # FCSYP05348 for $220,129, Grant deliverables require staffing, benefits, and other training and related expenses to perform services obligated per the contract.

### Potential alternatives to budget amendment:

N/A

### Impact to fiscal year 2021 budget:

Potentially an additional $220,129 in grant revenue with related expenses

None

### Revenue Source:

(DHS) Department of Human Services as a sub-grantee
2019 Fiscal Year

Sponsored by:
Jaime Salgado, Finance Committee Chairman

2019 CO

TO: THE HONORABLE MEMBERS OF THE COUNTY OF WINNEBAGO, ILLINOIS

The Winnebago County Finance Committee presents the following Ordinance amending the Annual Appropriation Ordinance for the fiscal year ending September 30, 2019 and recommends its adoption.

ORDINANCE

WHEREAS, the Winnebago County Board adopted the “Annual Budget and Appropriation Ordinance” for the fiscal year ending September 30, 2019 at its September 27, 2018 meeting; and,

WHEREAS, 55ILCS 5/6-1003(2014), states, “After the adoption of the county budget, no further appropriations shall be made at any other time during such fiscal year, except as provided in this Act. Appropriations in excess of those authorized by the budget in order to meet an immediate emergency may be made at any meeting of the board by a two-thirds vote of all the members constituting such board, the vote to be taken by ayes and nays and entered on the record of the meeting."

NOW, THEREFORE, BE IT ORDAINED, that the County Board deems that pursuant to the provisions as set forth in 55ILCS 5/6-1003(2014), certain conditions have occurred in connection with the operations of the County which are deemed to be immediate emergencies; therefore the following increases are hereby authorized.

2019-033 Ware Building Improvements
Reason: Masonry restoration work at the Ware Building was not budgeted in FY19.
Alternative: N/A
Impact to fiscal year 2020 budget: None
Revenue Source: Capital Improvements Fund

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<tbody>
<tr>
<td>JAIME SALGADO,</td>
<td>JAIME SALGADO,</td>
</tr>
<tr>
<td>FINANCE CHAIRMAN</td>
<td>FINANCE CHAIRMAN</td>
</tr>
<tr>
<td>DAVID FIDUCCIA</td>
<td>DAVID FIDUCCIA</td>
</tr>
<tr>
<td>JOE HOFFMAN</td>
<td>JOE HOFFMAN</td>
</tr>
<tr>
<td>BURT GERL</td>
<td>BURT GERL</td>
</tr>
<tr>
<td>DAVID BOOMER</td>
<td>DAVID BOOMER</td>
</tr>
<tr>
<td>STEVE SCHULTZ</td>
<td>STEVE SCHULTZ</td>
</tr>
<tr>
<td>KEITH MCDONALD</td>
<td>KEITH MCDONALD</td>
</tr>
</tbody>
</table>

Respectfully Submitted,
FINANCE COMMITTEE

The above and foregoing Ordinance was adopted by the County Board of the County of Winnebago, Illinois this ____ day of ____________________ 2019.

FRANK HANEY
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

ATTESTED BY:

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS
## 2019 WINNEBAGO COUNTY
### FINANCE COMMITTEE
### REQUEST FOR BUDGET AMENDMENT

<table>
<thead>
<tr>
<th>Department Org Number</th>
<th>Object (Account) Number</th>
<th>Object (Account) Description</th>
<th>Adopted Budget</th>
<th>Amendments Previously Approved</th>
<th>Revised Approved Budget</th>
<th>Increase (Decrease)</th>
<th>Revised Budget after Approved Budget Amendment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expenditures</td>
<td>82200</td>
<td>46320 Building Improvements</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>$250,000</td>
<td>$250,000</td>
</tr>
</tbody>
</table>

### TOTAL ADJUSTMENT:

- ($250,000)  
- ($250,000)

**Reason budget amendment is required:**

Masonry work to Ware Building was not originally budgeted in FY19.

**Potential alternatives to budget amendment:**

None

**Impact to fiscal year 2020 budget:**

None, funds already budgeted in FY20 for this project.

**Revenue Source:**

None
2020 Fiscal Year

Finance: November 7, 2019
Lay Over: November 14, 2019
Final Vote: November 26, 2019

Sponsored by:
Jaime Salgado, Finance Committee Chairman

2020 CO

TO: THE HONORABLE MEMBERS OF THE COUNTY OF WINNEBAGO, ILLINOIS

The Winnebago County Finance Committee presents the following Ordinance amending the Annual Appropriation Ordinance for the fiscal year ending September 30, 2019 and recommends its adoption.

ORDINANCE

WHEREAS, the Winnebago County Board adopted the “Annual Budget and Appropriation Ordinance” for the fiscal year ending September 30, 2020 at its September 26, 2019 meeting; and,

WHEREAS, 55ILCS 5/6-1003(2014), states, “After the adoption of the county budget, no further appropriations shall be made at any other time during such fiscal year, except as provided in this Act. Appropriations in excess of those authorized by the budget in order to meet an immediate emergency may be made at any meeting of the board by a two-thirds vote of all the members constituting such board, the vote to be taken by ayes and nays and entered on the record of the meeting.”

NOW, THEREFORE, BE IT ORDAINED, that the County Board deems that pursuant to the provisions as set forth in 55ILCS 5/6-1003(2014), certain conditions have occurred in connection with the operations of the County which are deemed to be immediate emergencies; therefore the following increases are hereby authorized.

2020-002 Probation and Detention additional State Funding
Reason: Increase personnel to reflect two (2) additional pre-trial probation officers funded in part from the State. State has also agreed to reimburse for two (2) existing positions, one in probation and one in detention, therefore reflecting a positive $39,514 additional dollars to the County.
Alternative: N/A
Impact to fiscal year 2020 budget: None
Revenue Source: General Fund

<table>
<thead>
<tr>
<th>Acct Description</th>
<th>Org</th>
<th>Obj</th>
<th>Pri</th>
<th>Debit (Credit)</th>
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</thead>
<tbody>
<tr>
<td>Regular Salaries</td>
<td>21500</td>
<td>41110</td>
<td></td>
<td>61,666</td>
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<tr>
<td>Health Insurance, Employer</td>
<td>13500</td>
<td>41211</td>
<td></td>
<td>10,752</td>
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<tr>
<td>FICA-Employer</td>
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<td>IMRF_Employer</td>
<td>49300</td>
<td>41231</td>
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<td>4,551</td>
</tr>
<tr>
<td>State Salary Reimbursement</td>
<td>21500</td>
<td>32240</td>
<td></td>
<td>(90,900)</td>
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<tr>
<td>State Reimbursement</td>
<td>43100</td>
<td>32240</td>
<td></td>
<td>(30,300)</td>
</tr>
</tbody>
</table>

Total Adjustment: $(39,514)
Respectfully Submitted,

FINANCE COMMITTEE

(AGREE)

JAIME SALGADO,
FINANCE CHAIRMAN

DAVID FIDUCCIA

JOE HOFFMAN

BURT GERL

DAVID BOOMER

STEVE SCHULTZ

KEITH McDONALD

(DISAGREE)

JAIME SALGADO,
FINANCE CHAIRMAN

DAVID FIDUCCIA

JOE HOFFMAN

BURT GERL

DAVID BOOMER

STEVE SCHULTZ

KEITH McDONALD

The above and foregoing Ordinance was adopted by the County Board of the County of Winnebago, Illinois this ____ day of ____________________ 2019.

__________________________
FRANK HANEY
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

ATTESTED BY:

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS
## 2020
### WINNEBAGO COUNTY
#### FINANCE COMMITTEE
**REQUEST FOR BUDGET AMENDMENT**

<table>
<thead>
<tr>
<th>Department Org Number</th>
<th>Object (Account) Number</th>
<th>Object (Account) Description</th>
<th>Adopted Budget</th>
<th>Amendments Previously Approved</th>
<th>Revised Approved Budget</th>
<th>Increase (Decrease)</th>
<th>Revised Budget after Approved Budget Amendment</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td><strong>Expenditures</strong></td>
<td></td>
<td></td>
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<tr>
<td>21500</td>
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<td>Regular Salaries</td>
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<tr>
<td>21500</td>
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<td>State Salary Reimbursement</td>
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<td><strong>TOTAL ADJUSTMENT:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$39,514 savings to County</td>
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**Reason budget amendment is required:**

Increase personnel to reflect two (2) additional pre-trial probation officers funded in part from the State. State has also agreed to reimburse for two (2) existing positions, one in probation and one in detention, therefore reflecting a positive $39,514 additional dollars to the County

**Potential alternatives to budget amendment:**

N/A

**Impact to fiscal year 2021 budget:**

None

**Revenue Source:** State Reimbursement
Winnebago County
404 Elm Street
Rockford, Illinois 61101

September 5, 2019

Dear Ms. Terrinoni,

In 1986, the City of Rockford, Rockford School District, County of Winnebago and the Rock River Water Reclamation District (then, the Sanitary District) formed a group of taxing districts for the express purpose of supporting the health of the real property tax base in Winnebago County. Through the use of an Intergovernmental Agreement, a property tax assessment monitoring program was created.

The activities of this group for more than 30 years have included direct support of property tax assessments through intervention and provision of legal defense and funding to support assessments both before the Winnebago County Board of Review and the Illinois Property Tax Appeal Board and other venues. Group funds are used to pay legal fees and costs, appraisal fees and witness testimony in defense of selected assessment cases. Most selected cases are seeking reduction of $100,000 or more in assessment; in general, very few of these cases involve residential property.

Sufficient funding is critical to support these activities and the City, School District and Park District have determined the sum of $100,000.00 is necessary to continue the work of the group in defending property assessments. Contribution from participating districts is the sole source of funds and contributions occur in proportion to each participating taxing district tax extension for the most recent year. Thus, contributions are proportionate to the revenue interest of each participant.

All taxing districts in Winnebago County have a direct interest in maintaining the health of the county tax base and each should bear a proportional amount of the burden of helping to support the tax base and support its township assessor. All new participants would approve participation in the Intergovernmental Agreement which would be amended to allow for expanded participation.

Because we are now entering a critical stage of the assessment cycle, it is imperative that new participating districts act now. Please express your interest in participating or make any inquiries to Yasheka Simpkins at (815) 490-4121 by November 1, 2019.

Mayor
City of Rockford

Superintendent
Rockford Public Schools

Executive Director
Rockford Park District
<table>
<thead>
<tr>
<th>Taxing District</th>
<th>Rate</th>
<th>% of Total</th>
<th>Allocation</th>
<th>Extension</th>
<th>% of Total</th>
<th>Allocation</th>
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<tr>
<td>RPS 205</td>
<td>7.3508</td>
<td>51.2615%</td>
<td>$25,630.76</td>
<td>$154,623,668.49</td>
<td>50.4519%</td>
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<tr>
<td>Rockford City</td>
<td>3.1194</td>
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<td>Cherry Valley Twp</td>
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<td>Rockford Park District</td>
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<tr>
<td>Rockford City College</td>
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<td>Rockford City Library</td>
<td>0.4890</td>
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<td>$6,243.29</td>
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<tr>
<td>Gr RKfd Airport Auth</td>
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<td>$306,477,609.83</td>
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</table>
REVISED
PERSONNEL AND POLICIES COMMITTEE
AGENDA

Called by: Chairman, Dave Fiduccia
Dave Boomer, Jim Webster, Joe Hoffman, Angie Goral, Dorothy Redd, Dave Kelley

DATE: THURSDAY, NOVEMBER 7, 2019
TIME: IMMEDIATELY FOLLOWING THE
FINANCE COMMITTEE

LOCATION: ROOM 510
COUNTY ADMINISTRATION BLDG
404 ELM STREET
ROCKFORD, IL 61101

AGENDA:

A. Call to Order

B. Roll Call


D. Public Comment – This is the time we invite the public to address the Personnel and Policies Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.

E. Resolution Authorizing the Execution of a Renewal Agreement with Gallagher Bassett for Third Party Administrator Fees for Workers Compensation and Liability Claims

F. Resolution Authorizing the Execution of a Renewal Agreement with Arthur J. Gallagher for the Property, Casualty, and Workers Compensation Coverage

G. Personnel and Policies Subcommittee Report

H. Search for County Administrator

I. Review of Closed Session Minutes by State’s Attorney

J. Other Matters

K. Adjournment
Winnebago County Board
Personnel & Policies Committee Meeting
County Administration Building
404 Elm Street, Room 303
Rockford, IL 61101

Thursday, May 16, 2019
Immediately Following the Finance Committee Meeting at 5:30 PM

Present:  
Dave Fiduccia, Chairman  
Dave Boomer  
Jim Webster  
Joe Hoffman  
Angie Goral  
Dorothy Redd  
Dave Kelley  

Others Present:  
Frank Haney, County Board Chairman  
Carla Paschal, County Administrator  
Tiana McCall, Chief Strategic Initiatives Officer  
Brett Frazier, Animal Services Administrator  
Ann Johns, Purchasing Director  
Kim Ponder, Human Resources Director  
Gus Gentner, IT Department  
Marilyn Hite Ross, State's Attorney  
Charlotte LeClercq, Assistant Deputy State's Attorney  
Lori Gummow, County Clerk  

AGENDA:  
A. Call to Order  
B. Roll Call  
C. Approval of January 24, 2019 Minutes  
D. Public Comment  
E. Resolution Authorizing the Administrator of River Bluff Nursing Home to Renew Agreements for Nursing Services  
F. Other Matters  
   1. Animal Services Policy Discussion  
G. Adjournment  

Chairman Fiduccia called the meeting to order at 5:45 PM.  

Motion to approve the Minutes of January 24, 2019  
Moved: Mr. Hoffman, Seconded: Mr. Webster.  
Motion passed by unanimous voice vote.  

Public Comment  
Chairman Fiduccia omitted reading the Public Comment section of the Agenda due to no one present to speak.  

Resolution Authorizing the Administrator of River Bluff Nursing Home to Renew Agreements for Nursing Services  
Motion made by Ms. Goral and Seconded by Mr. Hoffman.  
   • A discussion followed.  
Motion passed by unanimous voice vote.  

Page 1
Other Matters

1. Animal Services Policy Discussion
   • Mr. Frazier gave a report.
   • Mr. Salgado discussed the Winnebago County Good Government Caucus.

Motion to Adjourn. Moved: Mr. Boomer, Seconded: Mr. Hoffman.
Motion passed by unanimous voice vote.

Respectfully submitted,

Amy Ferling
Administrative Assistant
Winnebago County Board  
Personnel & Policies Committee Meeting  
County Administration Building  
404 Elm Street, Room 303  
Rockford, IL 61101  

Thursday, May 30, 2019  
5:30 PM

**Present:**  
Dave Fiduccia, Chairman  
Dave Boomer  
Jim Webster  
Joe Hoffman  
Angie Goral  
Dorothy Redd  
Dave Kelley

**Others Present:**  
Carla Paschal, County Administrator  
Kim Ponder, Human Resources Director  
Marilyn Hite Ross, State’s Attorney  
Dave Kurlinkus, Deputy State's Attorney  
Molly Terrinoni, Finance Director  
John Butitta, County Board Member  
Fred Wescott, County Board Member  
Paul Arena, County Board Member

**AGENDA:**

A. Call to Order  
B. Roll Call  
C. Public Comment  
D. Other Matters  
   1. Discussion – Baker Tilly Operational and Organizational Assessment of Human Resources and Finance Report  
E. Adjournment

Chairman Fiduccia called the meeting to order at 5:30 PM.

**Public Comment**  
Chairman Fiduccia omitted reading the Public Comment section of the Agenda due to no one present to speak.

**Other Matters**  
1. Discussion – Baker Tilly Operational and Organizational Assessment of Human Resources and Finance Report  
   • Ms. Pascal spoke about the Baker Tilly report.  
   • A discussion followed.

**Motion to Adjourn.** Moved: Mr. Hoffman, Seconded: Ms. Goral. Motion passed by unanimous voice vote.

Respectfully submitted,

Amy Ferling  
Administrative Assistant
Winnebago County Board  
Combined Meeting of the Finance Committee  
and Personnel and Policies Committee  
County Administration Building  
404 Elm Street, Room 303  
Rockford, IL 61101

Wednesday, February 6, 2019  
Immediately Following the Combined Finance Committee and Public Safety  
Committee Meeting at 5:30 PM

Present:  
Jaime Salgado, Chairman  
Keith McDonald  
Dave Boomer  
Dave Fiduccia  
Joe Hoffman  
Burt Gerl  
Dave Fiduccia, Chairman  
Dave Boomer  
Jim Webster  
Joe Hoffman  
Angie Goral  
Dorothy Redd  

Others Present:  
Carla Paschal, County Administrator  
Dave Kurlinkus, Deputy State’s Attorney  
Marilyn Hite Ross, State’s Attorney  
Ann Johns, Purchasing Director  
Molly Terrinoni, Finance Director

Absent:  
Steve Schultz  
Dave Kelley

AGENDA:  
A. Call to Order  
B. Roll Call  
C. Public Comment  
D. Closed Session  
E. Adjournment

Chairman Salgado called the meeting to order at 6:30 PM.

Public Comment  
Chairman Salgado omitted reading the Public Comment section of the Agenda due to no one present to speak.

Mr. Salgado – Motion to go into closed session.  
Moved: Mr. Boomer, Seconded: Mr. Fiduccia.  
Motion passed by unanimous voice vote.
Mr. Fiduccia – No action was taken in closed session.

**Motion to adjourn.** Moved: Mr. Hoffman, Seconded: Ms. Redd. Motion passed by unanimous voice vote.

Respectfully submitted,

Amy Ferling
Administrative Assistant
Winnebago County Board
Combined Meeting of the Operations and Administrative Committee
and Personnel and Policies Committee
County Administration Building
404 Elm Street, Room 303
Rockford, IL 61101

Thursday, March 21, 2019
Immediately Following the Combined Finance and Operations and Administrative Committee Meeting at 5:30 PM

Present:
Keith McDonald, Chairman
John Butitta
Joe Hoffman
Dorothy Redd
Jaime Salgado
Paul Arena
Dave Fiduccia, Chairman
Dave Boomer
Jim Webster
Angie Goral
Dave Kelley

Others Present:
Frank Haney, County Board Chairman
Carla Paschal, County Administrator
Tiana McCall, Chief Strategic Initiatives Officer
Marilyn Hite Ross, State’s Attorney
Ann Johns, Purchasing Director
Molly Terrinoni, Finance Director
John Giliberti, State’s Attorney’s Office
Debbie Crozier, Human Resources
Gus Gentner, Department of Information Technology
Terri Wiegert, Human Resources

Absent:
Jean Crosby

AGENDA:
A. Call to Order
B. Roll Call
C. Public Comment
D. Resolution Awarding Onsite Wellness Clinic Services
E. Other Matters
F. Adjournment

Chairman McDonald called the meeting to order at 6:20 PM.

Public Comment
Chairman McDonald omitted reading the Public Comment section of the Agenda due to no one present to speak.

Resolution Awarding Onsite Wellness Clinic Services
Motion to put it on the table. Moved: Mr. Boomer, Seconded: Mr. Hoffman.
- A handout was distributed and explained.
- A discussion followed.
Motion passed by unanimous voice vote.
Other Matters
None

Motion to adjourn. Moved: Mr. Hoffman, Seconded: Mr. Butitta.
Motion passed by unanimous voice vote.

Respectfully submitted,

Amy Ferling
Administrative Assistant
RESOLUTION
of the
COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS

Sponsored by: David Fiduccia
Submitted by: Personnel and Policies Committee

2019 CR

RESOLUTION AUTHORIZING THE EXECUTION OF A RENEWAL AGREEMENT WITH GALLAGHER BASSETT FOR THIRD PARTY ADMINISTRATOR FEES FOR WORKERS COMPENSATION AND LIABILITY CLAIMS

WHEREAS, the County of Winnebago, Illinois, each year adopts a Resolution which authorizes acceptance of third party administration (TPA) for workers compensation and liability claims; and,

WHEREAS, the renewal for December 1, 2019 TPA services contains premium changes; and

WHEREAS, Arthur J. Gallagher, who is the County’s Insurance Broker, has extensively reviewed the County’s options for this service and recommends continuation with Gallagher Bassett; and,

WHEREAS, Gallagher Bassett proposed the attached projected rates to Winnebago County for the TPA services of the workers compensation and liability claims beginning December 1, 2019 thru November 30, 2022:

Total Workers Compensation: $74,782
Total Liability/Property: $99,296
Ancillary Services Total: $759
TOTAL: $174,837

This is year 1 of a 3 year agreement. Per claim fees will increase 1% year one (2019-2020), 1% year two (2020-2021), and 1.5% year three (2021-2022).

WHEREAS, the Operations and Administrative Committee of the County Board for the County of Winnebago, Illinois has reviewed the renewal and recommends that the County Board authorize execution of an agreement with Gallagher Bassett for the administration of the TPA services for December 1, 2019 through November 30, 2022.

NOW, THEREFORE BE IT RESOLVED, by the County Board of the County of Winnebago, Illinois that the Winnebago County Board Chairman is hereby authorized to execute an agreement with Gallagher Bassett, 15763 Collections Center Drive, Chicago, IL 60693, for TPA services for workers compensation and liability claims.

BE IT FURTHER RESOLVED, that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to the Director of Purchasing, Director of Human Resources and the County Auditor.
Respectfully Submitted,
PERSONNEL AND POLICIES COMMITTEE

AGREE

DAVID FIDUCCIA, CHAIRMAN

DAVID BOOMER

ANGIE GORAL

JOE HOFFMAN

DAVID KELLEY

DOROTHY REDD

JIM WEBSTER

The above and foregoing Resolution was adopted by the County Board of the County of Winnebago, Illinois this ___ day of ___________ 2019.

FRANK HANEY
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

ATTESTED BY:

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS
### Fee Per Claim - Handle to Conclusion

<table>
<thead>
<tr>
<th></th>
<th>Est. Claim Frequency</th>
<th>Per Claim Fee</th>
<th>Projected Service Fee</th>
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<tbody>
<tr>
<td><strong>Workers’ Compensation</strong></td>
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<tr>
<td>WC Medical Only</td>
<td>65</td>
<td>$228</td>
<td>$14,820</td>
</tr>
<tr>
<td>WC Indemnity</td>
<td>35</td>
<td>$1,700</td>
<td>$59,500</td>
</tr>
<tr>
<td>Incidents - Workers’ Compensation</td>
<td>7</td>
<td>$66</td>
<td>$462</td>
</tr>
<tr>
<td>Claim Input Only</td>
<td>0</td>
<td>$114</td>
<td>$0</td>
</tr>
<tr>
<td><strong>Total Workers’ Compensation Fee Per Claim</strong></td>
<td>107</td>
<td></td>
<td><strong>74,782</strong></td>
</tr>
<tr>
<td><strong>Liability</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Auto Liability/Bodily Injury</td>
<td>16</td>
<td>$1,614</td>
<td>$25,824</td>
</tr>
<tr>
<td>Auto Liability/Property Damage</td>
<td>17</td>
<td>$925</td>
<td>$15,725</td>
</tr>
<tr>
<td>Auto/Physical Damage</td>
<td>21</td>
<td>$761</td>
<td>$15,981</td>
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<tr>
<td>General Liability/Bodily Injury</td>
<td>5</td>
<td>$1,614</td>
<td>$8,070</td>
</tr>
<tr>
<td>General Liability/Property Damage</td>
<td>6</td>
<td>$925</td>
<td>$5,550</td>
</tr>
<tr>
<td>Professional Liability</td>
<td>18</td>
<td>$1,722</td>
<td>$27,552</td>
</tr>
<tr>
<td>Incidents - Liability</td>
<td>9</td>
<td>$66</td>
<td>$594</td>
</tr>
<tr>
<td><strong>Total Liability Fee Per Claim</strong></td>
<td>90</td>
<td></td>
<td><strong>99,296</strong></td>
</tr>
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</table>

### Administrative Services

<table>
<thead>
<tr>
<th>Administrative Services</th>
<th>Quantity</th>
<th>Rate</th>
<th>Estimated Annual Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administration / Data Management</td>
<td></td>
<td></td>
<td>$759</td>
</tr>
<tr>
<td>RMIS Standard License (2 RMIS Users)</td>
<td></td>
<td></td>
<td>Included</td>
</tr>
<tr>
<td><strong>Total Administrative Services</strong></td>
<td></td>
<td></td>
<td><strong>$759</strong></td>
</tr>
</tbody>
</table>

**TOTAL USD** $174,837

This is year 1 of a 3 year agreement. Per claim fees increased 1% in year one (2019-2020), 1.25% in year two (2020-2021), and 1.5% in year three (2021-2022).

*Gallagher Bassett will set up input/Admin files and will operate strictly as a record keeper, inputting information as directed by the County of Winnebago (Claim Manager). Investigation, negotiation and adjustment of the claims along with setting reserves will be done by the Claim Manager. GB will document claim notes and will issue the payments at the direction of the Claim Manager. Losses are expected to be open for 90 days or less each.*
### GBCARE MEDICAL MANAGEMENT SERVICES

<table>
<thead>
<tr>
<th>SERVICES</th>
<th>CHARGES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fee Schedule (Bill Review / UCR)</td>
<td>$1.30 Per Line plus a 2 line header</td>
</tr>
<tr>
<td>All Other Savings</td>
<td></td>
</tr>
<tr>
<td>• System Savings</td>
<td>28 % of Savings</td>
</tr>
<tr>
<td>• Clinical Validation/Nurse Review (CV)</td>
<td>30 % of Savings</td>
</tr>
<tr>
<td>• Preferred Provider Networks (PPO)</td>
<td>30 % of Savings</td>
</tr>
<tr>
<td>• Out Of Network (OON)</td>
<td>30 % of Savings</td>
</tr>
<tr>
<td>• Specialty Networks/Physical Therapy (PT)</td>
<td>30 % of Savings</td>
</tr>
<tr>
<td>Electronic Receipt of Medical Bills</td>
<td>2 line header in all applicable states</td>
</tr>
<tr>
<td>Telephonic Case Management</td>
<td>$75 Medical Triage</td>
</tr>
<tr>
<td></td>
<td>$290 per Indemnity claim (each 30 days)</td>
</tr>
<tr>
<td></td>
<td>$130 per Medical Only claim (one time)</td>
</tr>
<tr>
<td>Hospital Certification Program</td>
<td>$120 Inpatient Pre-Certification</td>
</tr>
<tr>
<td>Utilization Review Program</td>
<td>$105 Outpatient Pre-Certification</td>
</tr>
<tr>
<td>UR Physician Review</td>
<td>$270 per Review</td>
</tr>
<tr>
<td>Medical Case Management and Vocational Rehabilitation - Hourly</td>
<td>$98 per hour plus expenses</td>
</tr>
<tr>
<td></td>
<td>$112 per hour plus expenses - AK, CA, HI, NY</td>
</tr>
<tr>
<td>Priority Care 365</td>
<td>$90 per call</td>
</tr>
<tr>
<td>Other State Service Options:</td>
<td>For claims handled in the designated states (otherwise, Not Applicable)</td>
</tr>
<tr>
<td>• Illinois PPP Service Option</td>
<td>No additional fees beyond the normal Cost &amp; Terms</td>
</tr>
<tr>
<td>Medical Cost Projection (MCP) and Clinical Recommendations</td>
<td>$125 per Hour</td>
</tr>
<tr>
<td>Pharmacy Benefit Management (PBM)</td>
<td>Cost of prescriptions -- no charge for Bill Review or PPO reductions for PBM transactions</td>
</tr>
<tr>
<td>Durable Medical Equipment (DME) Program</td>
<td>Cost of medical equipment -- no charge for Bill Review or PPO reductions for Prospective DME transactions</td>
</tr>
<tr>
<td>Dental Review Program</td>
<td>Charged on a per review basis</td>
</tr>
</tbody>
</table>

Client and GB agree as follows: If a vendor other than the GBCARE Medical Management Services preferred vendor is utilized, an administrative fee may apply in exchange for bona fide administrative services. The administrative services may include, but not be limited to overhead costs for the oversight and management of medical management vendors which includes the development and oversight of quality standards, development and maintenance of EDI interfaces and reports, and ensuring proper mandatory state compliance and reporting.
<table>
<thead>
<tr>
<th>SERVICES</th>
<th>CHARGES</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Gallagher Bassett Investigative Services (GBiS)</strong></td>
<td></td>
</tr>
<tr>
<td>Special Fraud Investigations - SIU, Outside Field Investigations, Surveillance Investigations, Targeted Field Investigations</td>
<td>Prevailing hourly rate plus expenses</td>
</tr>
<tr>
<td>Targeted Database Searches, Self Service Database Searches</td>
<td>Prevailing rate per report</td>
</tr>
<tr>
<td><strong>Gallagher Bassett Litigation Management Program (GBLMP)</strong></td>
<td></td>
</tr>
<tr>
<td>Invoice and Matter Management platform for adjusters/counsel</td>
<td>If utilized, 2% of net legal invoice (invoice net of disbursements and invoice review savings), charged as discount off total payment remitted to counsel and will be reflected as an allocated expense on the claim file.</td>
</tr>
<tr>
<td>5 client licenses for Legal Analytics platform</td>
<td></td>
</tr>
<tr>
<td>Attorney-led legal bill review</td>
<td></td>
</tr>
<tr>
<td><strong>Gallagher Bassett Compliance Services (GBCS)</strong></td>
<td></td>
</tr>
<tr>
<td>Medicare Set-Aside Services: Allocation, CMS Submission, Medicare Eligibility Inquiry (MEI), SSDI Verification, Medical Cost Projection (MCP)</td>
<td>Prevailing rate per each service</td>
</tr>
<tr>
<td><strong>Taxes</strong></td>
<td></td>
</tr>
<tr>
<td>Taxes</td>
<td>All applicable taxes will be added to the service fees where required</td>
</tr>
</tbody>
</table>
PROGRAM SPECIFIC TERMS AND CONDITIONS

1. Claim Count Reconciliation:
   • Estimate - Claims will be reconciled and billed at the 18th and 24th month and then every 12 months thereafter.

2. Billing and Payment Terms: Fees will be billed monthly during the service period.

3. Claim Pricing Terms:
   Handle to Conclusion:
   Claims will be handled for the life of the file. There will be no additional per claim fees for existing claims except if it changes category.

   Additional Charges:
   There will be additional charges for ongoing Data Management (RISX-FACS®), RMIS users, Administration, Banking fees and monthly reports for as long as GB handles claims.

4. Account Administration includes the following:
   • Account Management
   • Detailed Status Reports All Lines of Business @ $50,000
   • Settlement Authority All Lines of Business @ $0
   • 2 Claim Review(s) - Telephonic
   • Claim Reporting
   • Loss Fund Account Mgmt/Banking Services (SIMMS)
   • Acknowledgement Letter to Injured Employee WC
   • Acknowledgement Letter to Claimant Liab

5. Claim Charges: Claim and incident fees will be assessed on a per occurrence, per claimant, per line of coverage basis.

6. This material is the proprietary, confidential property of Gallagher Bassett Services, Inc. It has been provided to you for the sole purpose of considering a quote for claims administration services. It is not to be duplicated or shared in any form with anyone other than the individuals of such prospective client that have a business need to know the information. It must be destroyed or returned to Gallagher Bassett Services, Inc. after its intended use.

7. Gallagher Bassett Services, Inc. will not pay a fee, commission, or rebate to any party for the privilege of presenting our proposal or in order to secure the awarding of any program to Gallagher Bassett Services, Inc.

8. Pricing is based on using GBCARE Medical Management Services preferred vendors for Bill Review, PPO, out-of-network, utilization review, telephonic case management, MSA and field case management.
GENERAL CONTRACT TERMS AND CONDITIONS

1. Independent Adjusters - If applicable, following any significant loss as a result of a single event (i.e., hurricane, tornado, flood, earthquake, etc.), GB reserves the right to retain outside resources (adjusters) when appropriate and those fees will be paid as an Allocated Expense off the file.

2. The pricing quoted in this Cost & Terms is based upon the data and information provided by Client, as well as existing legislative and regulatory requirements. Material inaccuracies or changes to the foregoing may require adjustments to the quoted pricing.

3. Taxes - All applicable taxes will be added to the service fees where required.

4. Allocated Expenses: Shall be your responsibility as applicable and shall include, but not be limited to:
   - Legal Fees
   - Legal Bill Review
   - Medical Examinations
   - Professional Photographs
   - Travel made at client's request
   - Costs for witness statements
   - Court reporter service, translation, and interpretation
   - Record retrieval and copying services (Including medical and legal)
   - Accident reconstruction
   - Experts' rehabilitation costs
   - Chemist
   - Fees for service of process
   - Collection cost payable to third parties on subrogation
   - Architects, contractors
   - Engineer
   - Any other similar cost, fee or expense reasonably chargeable to the investigation, negotiation, settlement or defense of a claim or loss which must have the explicit prior approval of the client
   - Police, fire, coroner, weather, or other such reports
   - Property damage appraisals
   - Vehicle appraisals (vehicle damage assessment)
   - SIU, surveillance and sub rosa investigation
   - Official documents and transcripts
   - Pre- and post-judgment interest paid
   - Outside Field Investigations
   - Subrogation at 20% of net recovery
   - Second Injury Fund Recovery
   - Data Intelligence Self-Service Reports
   - Medical Management - Medical Management services may include, but are not limited to:
     - Preferred provider organization networks
     - Utilization review services
     - Automated state fee scheduling
     - Light duty/return-to-work programs
     - Medical case management and Vocational rehabilitation network
     - Prospective injury management services
     - Hospital bill audit services
DEFINITIONS

Incidents
An incident is a loss reported electronically through ClaimLine and/or the Web, or set up manually at the branch. GB will review the Incident and make a courtesy call (if necessary) to determine if it is a claim or Incident. GB will have full discretion in the determination and handling of these Incidents and/or their conversion into claim status.

Workers' Compensation - Medical Only Claims
A medical only claim is a work-related Claim that meets the following criteria: (i) payments for either indemnity or vocational rehabilitation were not required, (ii) the Claim has not become contested or in suit, (iii) investigation to determine compensability or subrogation requirements was not required, (iv) no loss notices, captioned reports, client meetings (other than routine meetings where the claim is listed and noted) or settlement authority approvals were required, (v) payments on the Claim have not exceeded $2500.

Workers' Compensation - Indemnity Claims
An indemnity claim is a Workers' Compensation claim that is not a Medical Only Claim.

Auto Physical Damage (APD)
Investigate, evaluate and adjudicate all first-party claims which you report involving damage or loss of real or personal property. First-party claims will be managed and administered in accordance with our product guidelines.

Liability Claims
Investigate, evaluate and adjudicate all third-party claims for which you may be legally obligated. Third-party claims will be managed and administered in accordance with our product guidelines.
ACKNOWLEDGEMENT OF COST & TERMS

The undersigned parties acknowledge and agree that this Cost & Terms is effective for the service period stated above.

Notices to Gallagher Bassett Services, Inc. should be directed to:
Gallagher Bassett Services, Inc.
2850 Golf Road
Rolling Meadows, Illinois 60008-4050
Attn: Legal Department
Email: GB-Contracts@gbtpa.com

ACCEPTED AND AGREED TO BY:
GALLAGHER BASSETT SERVICES, INC.
By: ____________________________
Print Name: ______________________
Title: ____________________________
Date: ____________________________

ACCEPTED AND AGREED TO BY:
WINNEBAGO, COUNTY OF
By: ____________________________
Print Name: ______________________
Title: ____________________________
Date: ____________________________
RESOLUTION
of the
COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS

Sponsored by:  David Fiduccia
Submitted by:  Personnel and Policies Committee

2019 CR

RESOLUTION AUTHORIZING THE EXECUTION OF A RENEWAL AGREEMENT WITH ARTHUR J. GALLAGHER FOR THE PROPERTY, CASUALTY AND WORKERS COMPENSATION COVERAGE

WHEREAS, the County of Winnebago, Illinois, each year adopts a Resolution which authorizes acceptance of the property, casualty and workers compensation insurance coverage; and,

WHEREAS, the renewal for December 1, 2019 through November 30, 2020; the property, casualty, and workers compensation insurance does contain premium changes; and

WHEREAS, Arthur J. Gallagher & Co., who is the County’s Insurance Broker, has extensively reviewed the County’s options for this coverage; and,

WHEREAS, Arthur J. Gallagher & Co. has proposed the attached rates to Winnebago County for the property, casualty and workers compensation insurance coverage from December 1, 2019 thru November 30, 2020:

See attachment A – Premium Summary Recap for Details.

WHEREAS, the Personnel and Policies Committee of the County Board for the County of Winnebago, Illinois has reviewed the renewal and recommends that the County Board authorize execution of an agreement with Arthur J Gallagher & Co. for the property, casualty and workers compensation insurance coverage for December 1, 2019 through November 30, 2020.

NOW, THEREFORE BE IT RESOLVED, by the County Board of the County of Winnebago, Illinois that the Winnebago County Board Chairman is hereby authorized to execute an agreement with Arthur J. Gallagher & Co., 2850 Golf Road, Rolling Meadows, IL 60008, for the property, casualty and workers compensation insurance coverage.

BE IT FURTHER RESOLVED, that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to the Director of Purchasing, Director of Human Resources and the County Auditor.
Respectfully Submitted,
PERSONNEL AND POLICIES COMMITTEE

AGREE

DAVID FIDUCCIA, CHAIRMAN

DAVID BOOMER

ANGIE GORAL

JOE HOFFMAN

DAVID KELLEY

DOROTHY REDD

JIM WEBSTER

The above and foregoing Resolution was adopted by the County Board of the County of Winnebago, Illinois this ____ day of __________________________ 2019.

FRANK HANEY
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

ATTESTED BY:

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS
## Premium Summary

The estimated program cost for the options are outlined in the following table:

<table>
<thead>
<tr>
<th>LINE OF COVERAGE</th>
<th>EXPIRING PROGRAM ESTIMATED COST</th>
<th>PROPOSED PROGRAM PROGRAM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Package</td>
<td>Premium</td>
<td>Travelers Indemnity Company (The Travelers Companies, Inc.)</td>
</tr>
<tr>
<td></td>
<td>Estimated Cost</td>
<td>$279,135.00</td>
</tr>
<tr>
<td></td>
<td>Annualized Cost</td>
<td></td>
</tr>
<tr>
<td></td>
<td>TRIA Premium</td>
<td>Travelers Indemnity Company (The Travelers Companies, Inc.)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$281,030.00</td>
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<tr>
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<td>Included</td>
<td>Included</td>
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<tr>
<td>Property Excluding Auto Physical Damage</td>
<td>Premium</td>
<td>Travelers Indemnity Company (The Travelers Companies, Inc.)</td>
</tr>
<tr>
<td></td>
<td>Estimated Cost</td>
<td>$169,665.00</td>
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<td>Annualized Cost</td>
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</tr>
<tr>
<td></td>
<td>TRIA Premium</td>
<td>Travelers Indemnity Company (The Travelers Companies, Inc.)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$205,490.00</td>
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<td>Included</td>
<td>Included</td>
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<tr>
<td>Automobile Liability ONLY</td>
<td>Premium</td>
<td>Travelers Indemnity Company (The Travelers Companies, Inc.)</td>
</tr>
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<td></td>
<td>Estimated Cost</td>
<td>$93,822.00</td>
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<td></td>
<td>Annualized Cost</td>
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<td></td>
<td>TRIA Premium</td>
<td>Travelers Indemnity Company (The Travelers Companies, Inc.)</td>
</tr>
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<td></td>
<td></td>
<td>$102,345.00</td>
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<td>Included</td>
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<tr>
<td>Umbrella</td>
<td>Premium</td>
<td>Travelers Indemnity Company (The Travelers Companies, Inc.)</td>
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<td></td>
<td>Estimated Cost</td>
<td>$185,849.00</td>
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<tr>
<td></td>
<td>Annualized Cost</td>
<td></td>
</tr>
<tr>
<td></td>
<td>TRIA Premium</td>
<td>Travelers Property Casualty Co of America (The Travelers Companies, Inc.)</td>
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<tr>
<td></td>
<td></td>
<td>$185,854.00</td>
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<tr>
<td></td>
<td>Included</td>
<td>Included</td>
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<tr>
<td>Crime</td>
<td>Premium</td>
<td>Massachusetts Bay Insurance Company (Hanover Insurance Companies)</td>
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<tr>
<td></td>
<td>Estimated Cost</td>
<td>$6,933.00</td>
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<td></td>
<td>Annualized Cost</td>
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</tr>
<tr>
<td></td>
<td>TRIA Premium</td>
<td>Massachusetts Bay Insurance Company (Hanover Insurance Companies)</td>
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<tr>
<td></td>
<td></td>
<td>$6,933.00</td>
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<td></td>
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<tr>
<td>LINE OF COVERAGE</td>
<td>EXPIRING PROGRAM</td>
<td>PROPOSED PROGRAM</td>
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<tr>
<td>------------------------------------</td>
<td>------------------------------</td>
<td>----------------------------</td>
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<tr>
<td></td>
<td>ESTIMATED COST</td>
<td>PROGRAM</td>
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<tr>
<td>Professional Liability (Health</td>
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<tr>
<td>Department Only)</td>
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</tr>
<tr>
<td>Premium</td>
<td>Columbia Casualty Company</td>
<td>Columbia Casualty Company</td>
</tr>
<tr>
<td>Estimated Cost</td>
<td>(CNA Insurance Companies)</td>
<td>(CNA Insurance Companies)</td>
</tr>
<tr>
<td>Annualized Cost</td>
<td>$15,070.00</td>
<td>$15,584.00</td>
</tr>
<tr>
<td>TRIA Premium</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cyber Liability</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Premium</td>
<td>ACE American Insurance</td>
<td>Underwriters at Lloyd's</td>
</tr>
<tr>
<td>Taxes</td>
<td>Company (ACE Group)</td>
<td>London (Underwriters at</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lloyd's London)</td>
</tr>
<tr>
<td>Stopping &amp; Assembling</td>
<td></td>
<td>(at $5M Limit option)</td>
</tr>
<tr>
<td>Total Fees</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Estimated Cost</td>
<td>$30,985.00</td>
<td>$28,512.00</td>
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<tr>
<td>Annualized Cost</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TRIA Premium</td>
<td>Included</td>
<td></td>
</tr>
<tr>
<td>Excess Workers' Compensation</td>
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<td></td>
</tr>
<tr>
<td>Premium</td>
<td>Safety National Casualty</td>
<td>Safety National Casualty</td>
</tr>
<tr>
<td>Estimated Cost</td>
<td>Corporation (Tokio Marine</td>
<td>Corporation (Tokio Marine</td>
</tr>
<tr>
<td>Annualized Cost</td>
<td>Holdings, Inc.)</td>
<td>Holdings, Inc.)</td>
</tr>
<tr>
<td>TRIA Premium</td>
<td>Included</td>
<td>Included</td>
</tr>
<tr>
<td>Broker Fee - Arthur J. Gallagher &amp;</td>
<td>$63,750.00</td>
<td>$85,025.00</td>
</tr>
<tr>
<td>Co.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Estimated Program Cost</td>
<td>$946,572.00</td>
<td>$994,822.00</td>
</tr>
<tr>
<td>without Crisis Protect</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Winnebago County

Quote from Travelers Indemnity Company (The Travelers Companies, Inc.) is valid until 12/1/2019
Quote from Travelers Property Casualty Co of America (The Travelers Companies, Inc.) is valid until 12/1/2019
Quote from Safety National Casualty Corporation (Tokio Marine Holdings, Inc.) is valid until 12/2/2019
Quote from Travelers Indemnity Company (The Travelers Companies, Inc.) is valid until 12/1/2019
Quote from Massachusetts Bay Insurance Company (Hanover Insurance Companies) is valid until 12/1/2019
Quote from Columbia Casualty Company (CNA Insurance Companies) is valid until 12/21/2019
Quote from Travelers Indemnity Company (The Travelers Companies, Inc.) is valid until 12/1/2019
Quote from Underwriters at Lloyd’s London (Underwriters at Lloyd’s London) is valid until 12/1/2019
Quote from Underwriters at Lloyd’s London (Underwriters at Lloyd’s London) is valid until 12/7/2019

Gallagher is responsible for the placement of the following lines of coverage:
- Package
- Property
- Automobile
- Umbrella
- Crime
- Professional Liability (Health Department Only)
- Cyber Liability
- Crisis Protect
- Excess Workers’ Compensation

It is understood that any other type of exposure/coverage is either self-insured or placed by another brokerage firm other than Gallagher. If you need help in placing other lines of coverage or covering other types of exposures, please contact your Gallagher representative.